

JC07 Rec'd PCT/PTO 27 FEB 2002

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (MODIFIED)		ATTORNEY'S DOCKET NUMBER X-12968
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/070130
INTERNATIONAL APPLICATION NO. PCT/US00/20824	INTERNATIONAL FILING DATE 14 September 2000 (14.09.00)	PRIORITY DATE CLAIMED 29 September 1999 (29.09.99)
TITLE OF INVENTION: PIPERIDINE DERIVATIVES AS REUPTAKE INHIBITORS		
APPLICANT(S) FOR DO/EO/US: Daniel Timothy Kohlman, Sidney Xi Liang and Yao-Chang Xu		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1.	<input checked="" type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2.	<input type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3.	<input type="checkbox"/>	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.	<input checked="" type="checkbox"/>	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.	<input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371(c)(2))
	a.	<input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).
	b.	<input type="checkbox"/> has been transmitted by the International Bureau.
	c.	<input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).
6.	<input type="checkbox"/>	A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.	<input checked="" type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
	a.	<input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).
	b.	<input type="checkbox"/> have been transmitted by the International Bureau.
	c.	<input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.
	d.	<input checked="" type="checkbox"/> have not been made and will not be made.
8.	<input type="checkbox"/>	A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.	<input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.	<input checked="" type="checkbox"/>	A copy of the International Preliminary Examination Report (IPER), including any annexes, and, if not in English, an English language translation of the annexes to the IPER under PCT Article 36 (35 U.S.C. 371(c)(5)).
Items 11. to 16. below concern document(s) or information included:		
11.	<input type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.	<input checked="" type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.	<input checked="" type="checkbox"/>	A FIRST preliminary amendment.
	<input type="checkbox"/>	A SECOND or SUBSEQUENT preliminary amendment.
14.	<input type="checkbox"/>	A substitute specification.
15.	<input type="checkbox"/>	A change of power of attorney and/or address letter.
16.	<input type="checkbox"/>	Other items or information:

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) 10/070130		INTERNATIONAL APPLICATION NO. PCT/US00/20824		ATTORNEY'S DOCKET NUMBER X-12968																																																																
<div style="display: flex; justify-content: space-between;"><div>17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$750.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div></div><div style="display: flex; justify-content: space-between;"><div>Surcharge of \$130.00 for furnishing the oath or declaration later than <u> 20 </u> <u> 30 </u> months from the earliest claimed priority date (37 CFR 1.492(e)).</div><div style="border: 1px solid black; padding: 2px;">\$ 890.00</div></div><table border="1" style="width:100%; border-collapse: collapse;"><thead><tr><th style="width:20%;">CLAIMS</th><th style="width:20%;">NUMBER FILED</th><th style="width:20%;">NUMBER EXTRA</th><th style="width:20%;">RATE</th><th style="width:20%;"></th></tr></thead><tbody><tr><td>Total claims</td><td style="text-align: center;">20 -20=</td><td style="text-align: center;">0</td><td style="text-align: center;">X \$18.00</td><td style="border: 1px solid black; padding: 2px;">\$</td></tr><tr><td>Independent claims</td><td style="text-align: center;">5 -3=</td><td style="text-align: center;">2</td><td style="text-align: center;">X \$84.00</td><td style="border: 1px solid black; padding: 2px;">\$ 168.00</td></tr><tr><td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td><td style="text-align: center;">+ \$280.00</td><td style="border: 1px solid black; padding: 2px;">\$</td></tr><tr><td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td><td style="border: 1px solid black; padding: 2px;">\$ 168.00</td></tr><tr><td colspan="4">Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</td><td style="border: 1px solid black; padding: 2px;">\$</td></tr><tr><td colspan="4" style="text-align: right;">SUBTOTAL =</td><td style="border: 1px solid black; padding: 2px;">\$ 1,058.00</td></tr><tr><td colspan="4">Processing fee of \$130.00 for furnishing English translation later than <u> 20 </u> <u> 30 </u> months from the earliest claimed priority date (37 CFR 1.492(f)).</td><td style="border: 1px solid black; padding: 2px;">\$</td></tr><tr><td colspan="4" style="text-align: right;">TOTAL NATIONAL FEE =</td><td style="border: 1px solid black; padding: 2px;">\$ 1,058.00</td></tr><tr><td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <div style="text-align: right;">\$40.00 per property</div></td><td style="border: 1px solid black; padding: 2px;">\$</td></tr><tr><td colspan="4" style="text-align: right;">TOTAL FEES ENCLOSED =</td><td style="border: 1px solid black; padding: 2px;">\$ 1,058.00</td></tr><tr><td colspan="4"></td><td style="border: 1px solid black; padding: 2px; text-align: center;">Amount to be refunded</td></tr><tr><td colspan="4"></td><td style="border: 1px solid black; padding: 2px; text-align: center;">charged</td></tr></tbody></table></div> <div style="vertical-align: top;">CALCULATIONS PTO USE ONLY</div>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total claims	20 -20=	0	X \$18.00	\$	Independent claims	5 -3=	2	X \$84.00	\$ 168.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$	TOTAL OF ABOVE CALCULATIONS =				\$ 168.00	Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	SUBTOTAL =				\$ 1,058.00	Processing fee of \$130.00 for furnishing English translation later than <u> 20 </u> <u> 30 </u> months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	TOTAL NATIONAL FEE =				\$ 1,058.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <div style="text-align: right;">\$40.00 per property</div>				\$	TOTAL FEES ENCLOSED =				\$ 1,058.00					Amount to be refunded					charged
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Daniel Timothy Kohlman
Sidney Xi Liang
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International Application No.: PCT/US00/20824

Filed: 14 September 2000 (14.09.00)

Invention Title: PIPERIDINE DERIVATIVES AS REUPTAKE INHIBITORS

Lilly Reference: X-12968

Earliest Priority Date: 29 September 1999 (29.09.99)

Certificate Under 37 C.F.R. § 1.10

Attention: DO/EO

Box PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir/Madam:

"Express Mail" mailing label number: EL 230530104 US

Date of Deposit: FEB. 27, 2002

I hereby certify that the following attached paper or fee.

Transmittal Letter to the United States Designated/Elected Office (US) concerning a filing under 35 U.S.C. 371 of the International Application identified above is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-0327.

Bruce N. Thomas
(Typed or printed name of person mailing paper)

Bruce N. Thomas
(Signature of person mailing paper or fee)